



# Inbound One-Stop Solution: International Contracts

International Dept. Nanhua Futures

2022.03



**NANHUA  
FUTURES**

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# PART ONE

# About Nanhua





# Pioneer Financial Service Provider in China's Futures Industry



Founded in 1996, Nanhua Futures Co., Ltd. is a leading integrated financial service provider in China. We provide high-quality comprehensive financial services in **Mainland China, Hong Kong, London, Chicago and Singapore.**

## Organization Structure

Nanhua Capital  
(Wholly-owned by Nanhua)

**NANHUA  
FUTURES**

Nanhua Fund  
(Wholly-owned by Nanhua)

HGNH  
International  
Financial

(Wholly-owned by Nanhua)

HGNH International Futures Co.  
HGNH International Securities Co.  
HGNH International Asset Management Co.  
HGNH International Financial (SG)  
Nanhua Financial (UK)  
Nanhua USA LLC  
(Wholly-owned by HGNH International Financial)  
HGNH International Asset Management Co. (SG)  
(70% owned by HGNH International Financial)

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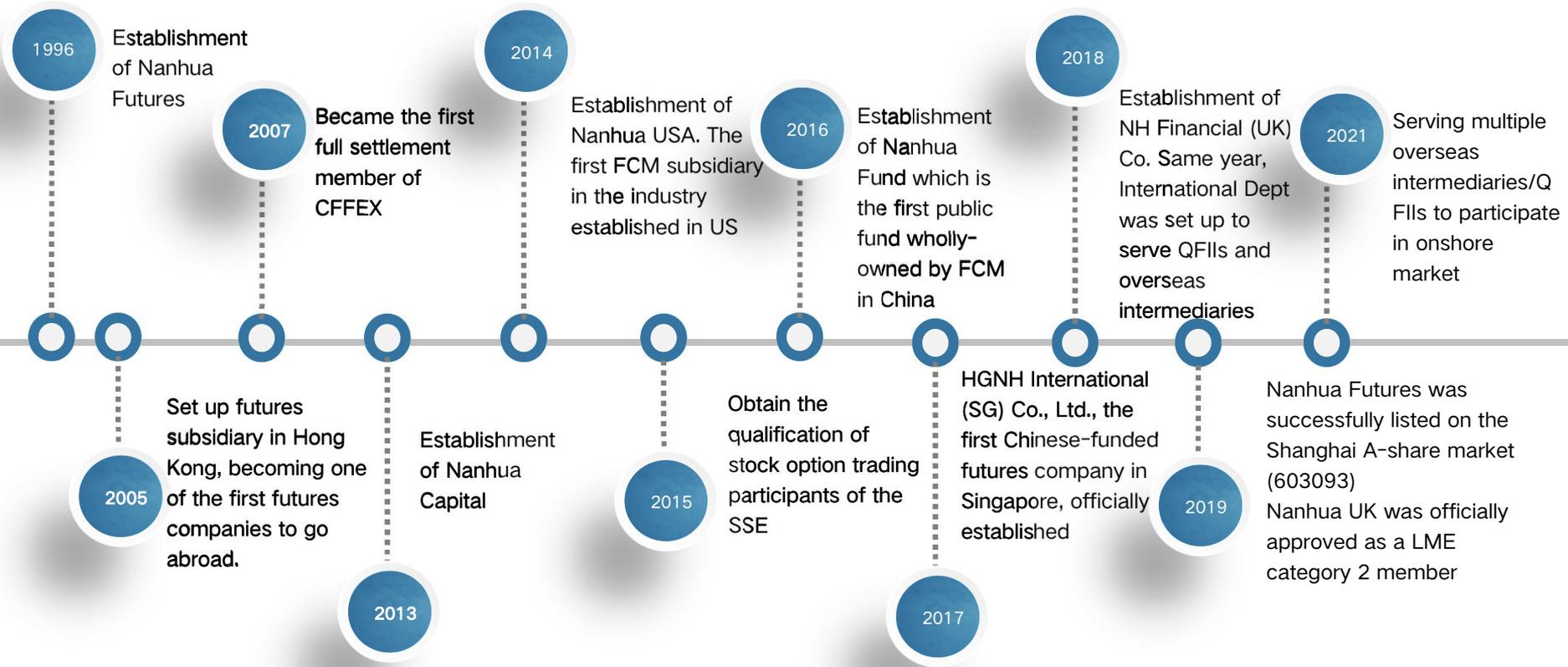
Nanhua Futures provides a global derivatives service and is mainly engaged in commodity and financial futures and options brokerage business, futures investment advisory service, asset management, securities investment fund sale, etc.

Clearing Memberships in Global Markets	UK	<b>Nanhua Financial (UK) Co Limited (FRN:821609)</b> <ul style="list-style-type: none"> <li>LME Category 2 member</li> </ul>
	USA	<b>Nanhua USA Investment LLC (NFA ID: 0496874, Registered CPO, CTA)</b> <b>Nanhua USA LLC (NFA ID: 0466494, Registered FCM)</b> <ul style="list-style-type: none"> <li>CME Group clearing member (CME, CBOT, NYMEX, COMEX);</li> <li>DME clearing member ;</li> <li>ICE US clearing member</li> </ul>
	Singapore	<b>HGNH International Asset Management (Singapore) Co Limited (CMS100920)</b> <b>HGNH International Financial (Singapore) PTE. Ltd (CMS100651-1)</b> <ul style="list-style-type: none"> <li>APEX trading member and clearing member ;</li> <li>SGX derivatives market trading member and clearing member</li> </ul>
	Hong Kong	<b>HGNH International Asset Management (AXY 831)</b> <b>HGNH International Securities (BBT518)</b> <b>HGNH International Futures Co. Ltd. (AOU118)</b> <ul style="list-style-type: none"> <li>HKFE Exchange Participant/Futures Commission Merchant ;</li> <li>HKCC Clearing Participant</li> </ul>

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# History





# Comprehensive Development of Multi-Businesses



## Onshore Brokerage

- Commodities
- Financial futures
- Stock options



## Risk Management

- OTC derivatives business
- Basis trading
- Market-making



## Wealth Management

- HNW Asset Management
- Public funds raising, selling
- Portfolio managing of public/private funds



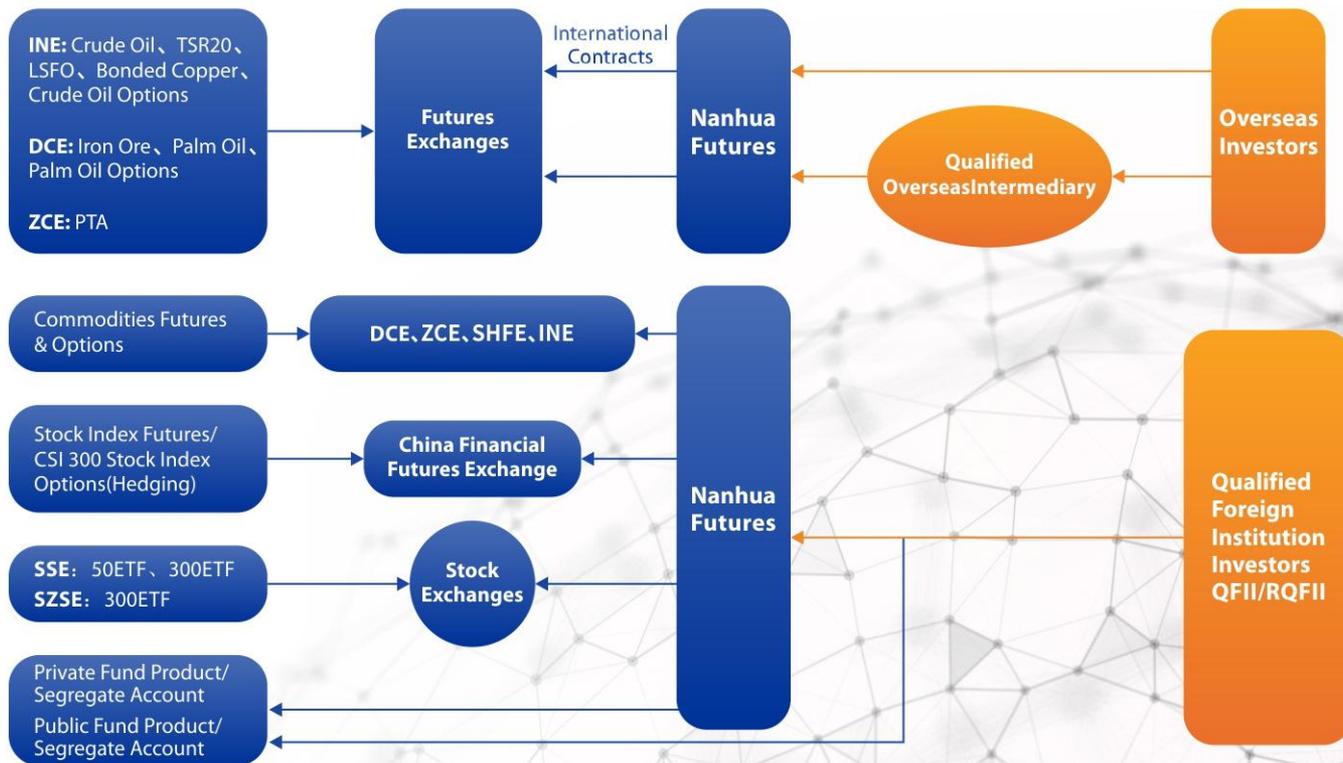
## Overseas Financial Service

- Global futures brokerage
- Asset management
- Stockbroker
- Leveraged Forex trading
- Futures Investment Advisory



## Inbound

- Access to all internal Exchanges of China via
- QFII expertise
- International futures contracts



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# PART TWO

# One-Stop Solution





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# Methods of Participation and requirements

## Clients

Open account with Nanhua entity and meet the following suitability requirements



## Overseas Intermediates

HGNH (HK)  
【TT、CQG、Esunny】  
HGNH (SG)  
【TT、CQG、ATP】  
Nanhua UK  
【ATP、CQG】  
Others



## Exchanges

INE  
DCE  
CZCE



Exchanges	Contracts	Funding Requirements in recent 5 trading days	Trading Experience Requirement
INE	Crude Oil Futures, Crude Oil Options	Individual--more than 0.5 mil RMB Institution--more than 1 mil RMB	10 actual trades within 3 years
	TSR20, LSFO, Bonded Copper		
DCE	Iron Ore Futures, Palm Olein Futures, Palm Olein Options	More than 0.1 mil RMB	
CZCE	PTA		



# Available Contracts

Product	Crude Oil	TSR 20	LSFO	Copper	Crude Oil Options	Iron Ore	Palm Olein	Palm Olein Options	PTA
Listed Time	03/26/2018	08/12/2019	06/22/2020	11/19/2020	06/21/2021	05/04/2018	12/22/2020	06/18/2021	11/30/2018
Exchange	INE					DCE			ZCE
Code	SC	NR	LU	BC	SC	I	P	P	TA
Trading Hours	9:00-11:30 13:30-15:00 21:00-02:30	9:00-11:30 13:30-15:00 21:00-23:00	9:00-11:30 13:30-15:00 21:00-23:00	9:00-11:30 13:30-15:00 21:00-01:00	9:00-11:30 13:30-15:00 21:00-02:30	9:00-11:30 13:30-15:00 21:00-23:00	9:00-11:30 13:30-15:00 21:00-23:00	9:00-11:30 13:30-15:00 21:00-23:00	9:00-11:30 13:30-15:00 21:00-23:00
Contract	1000 Barrel	10 MT	10 MT	5 MT	1 lot Crude Oil contract	100 MT	10 MT	1 lot Palm Olein contract	5 MT
Price Quotation	CNY/Barrel	CNY/MT			CNY/Barrel	CNY/MT			
Margin	10%	10%	10%	10%	/	12%	10%	/	7%
Position Limit (Delivery Month)	500	200	500	700	500	2000	500	10000	5000
Maximum Number of Lots / Transaction	500	500	500	500	100	1000	1000	1000	1000 (Limit) 200(Market)
Related Products	Brent Crude Oil (ICE Europe) WTI Crude Oil (CME group)	SICOM TSR20 Futures (SGX ) TSR 20 Futures (TOCOM)	SGX LSFO (SGX)	LME CU(LME)	Brent Crude Oil Options OS((ICE Europe)	Iron ore Futures (SGX) Iron Ore Futures (HKEX)	BMD Palm Olein (BMD)	BMD Palm Olein Options (BMD)	PX Swap (SGX)

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# Account Opening and Preparation Process

Project/Time	TBD	1 Day	TBD	1 Day	TBD	1 Day	3 Days	2 Days	5 Days	2 Days	1 Day
1 Pre-Communication	Dark Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue
2 Checklist and Documents	Light Blue	Teal	Light Blue								
3 First Draft from Clients	Light Blue	Light Blue	Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue
4 Review and Comments	Light Blue	Light Blue	Light Blue	Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue
5 Sign and Scan	Light Blue	Light Blue	Light Blue	Light Blue	Dark Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue	Light Blue
6 Final Review	Light Blue	Teal	Light Blue								
7 Account Opening	Light Blue	Dark Blue	Light Blue	Light Blue	Light Blue	Light Blue					
8 Market Data and Rates	Light Blue	Blue	Light Blue	Light Blue	Light Blue						
9 Deposit	Light Blue	Blue	Light Blue	Light Blue	Light Blue						
10 Fulfill Suitability	Light Blue	Blue	Light Blue								
11 Apply for Trading Code	Light Blue	Blue									
12 Trading	Light Blue	Dark Blue									

TBD: Up to the responsive of the client

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## Intoduction of Special Trading Rules

Items	Detail
One code per one client	One entity can only have one unique trading code within the exchange.
USD offset margin	The settlement is made in RMB, and the US dollar can be used as a margin.
Position limit warning	Remind clients to adjust their position before scheduled time
Trading limit warning	Notify clients to pay attention, so as not to exceed the limit and be restricted by the exchange on positions opening
Abnormal transaction notice	Self-dealing; Frequent cancellation of orders; Large amount of cancellation.
Other Trading Related Notices	Delivery notice, Holiday notice
	Commission / Margin / Price limit adjustment notice
Trading orders	Price priority, time priority; Closing rules is first in first out. INE: Close today position VS Close yesterday position

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## Co-location Service

INE

Zhangjiang Server Room II, III, IV

- CTP Second
- NHTD
- REM
- ydServer
- Esunny

DCE

Dalian High-tech Server Room

- REM
- NHTD

ZCE

Zhengzhou Mini Server Room  
ZCE Tech-centre Server Room

- MINI Esunny

Pros

1

Clients server room matches each exchange

2

Multiple high speed trading systems

3

Low latency equipment & High performance server

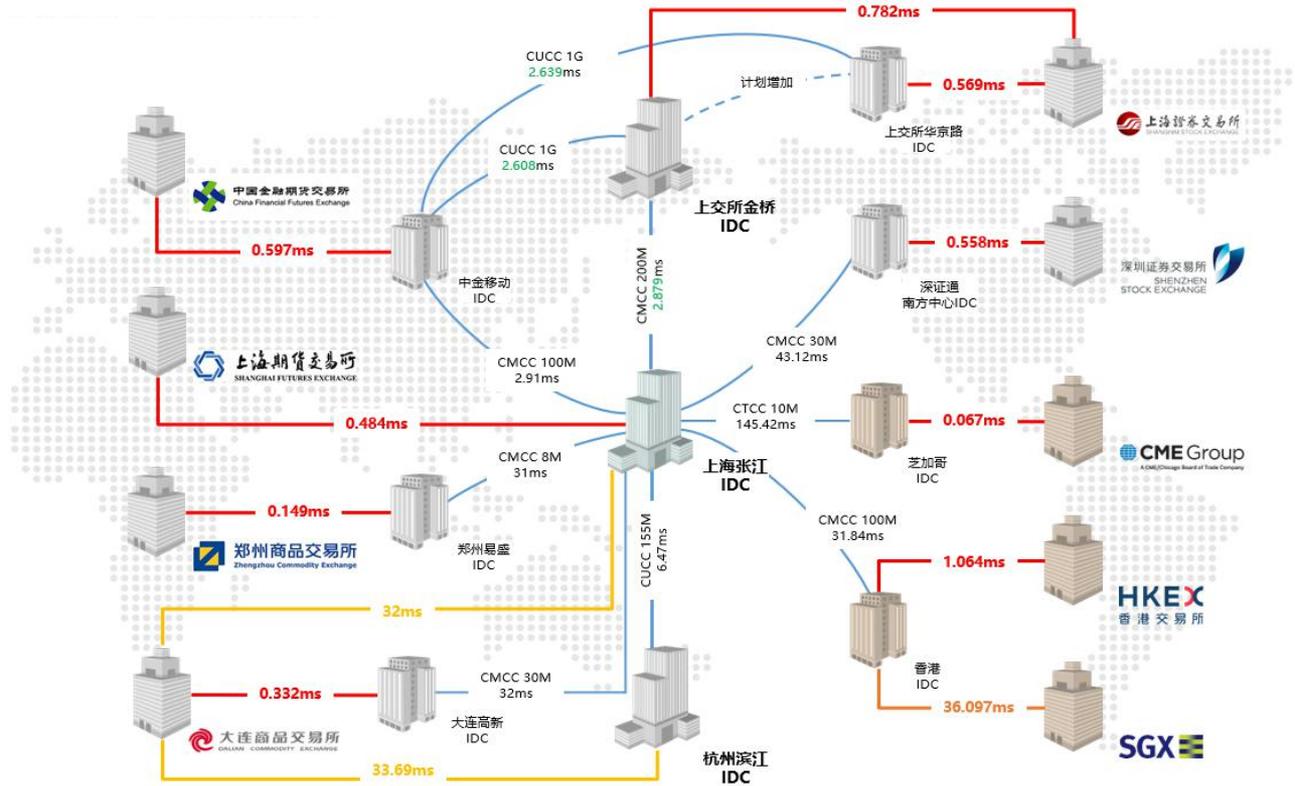
4

Ultra-speed market data server

5

Professional IT consultation & Technical innovation

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# PART THREE

# Our Advantages





## Experience in serving offshore institutions

- One of the earliest cross-border investment service teams serving QFII and RQFII participating in stock index futures hedging
- The team consists of 6 sales staff and 4 traders, 5 of whom have overseas study background and have more than 8 years of experience in futures industry per capita.



## One-on-one service

- Dedicated customer service manager pro-actively dealing with settlement issues
- A-share listed company guarantees the safety of funds.



## One-stop integrated financial solutions

- QFII/RQFII product experts, providing comprehensive solutions from market access to onshore investment.
- Experience in overseas markets , providing advice for overseas brokers from exchange filing to participating in transactions.
- International futures contracts access for overseas participants to trade China's internationalized products.



## Full set of trading systems and IT support

- We offer TT, CQG, ATP, Esunny, Bloomberg and other international mainstream trading platforms;
- Continuous investment in information technology ensures that we are at the forefront of technology and provide customers with the best experience.



# PART FOUR

# Contact Us





## Hangzhou, Zhejiang, China

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